Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2006-11-07
2. Agency:	015
3. Bureau:	10
4. Investment Name:	PACER
5. UPI:	015-10-01-14-01-1440-00

6. What kind of investment will this be in FY2008?
Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?
FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.
PACER On-Line (POL) was initiated in fiscal year 1997 to automate the claims and accounting processes for FMS' disbursing function. As a result of the Debt Collection Act of 1996, the original PACER initiative, (Payments, Accounting, Claims and Enhanced Reconciliation) was rescoped and renamed POL. The payments and reconciliation modules were removed from the overall scope. POL was reviewed and approved by the FMS Investment Review Board and subsequently the FMS Executive Board. The POL investment was fully implemented during the 1st quarter of FY 2003. The POL investment is in the Steady State phase of the FMS Capital Planning and Investment Control (CPIC) process.

9. Did the Agency's Executive/Investment Committee approve this request?
yes

9.a. If "yes," what was the date of this approval?
2006-08-09

10. Did the Project Manager review this Exhibit?
yes

11. Project Manager Name:
Romoser, Todd
Project Manager Phone:
Project Manager Email:

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
no

12.a. Will this investment include electronic assets (including computers)?
no

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
no

13. Does this investment support one of the PMA initiatives?
yes

If yes, select the initiatives that apply:
Expanded E-Government

13.a. Briefly describe how this asset directly supports the identified initiative(s)?
One of the PMA Expanded E-Government initiatives is to provide taxpayers with real time status of their refunds via the internet. PACER is the system of record for all payments issued by the Financial Management Service (FMS). In addition,

the PACER database serves as a data warehouse, supplying numerous agencies, systems and applications real time payment data. The IRS CADE application uses the PACER data warehouse to provide taxpayers with refund payment information via the internet.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
14.b. If yes, what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
Financial Management Service Payments	
14.c. If yes, what PART rating did it receive?	
Effective	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 3	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
yes	
19. Is this a financial management system?	
yes	
19.a. If yes, does this investment address a FFMIA compliance area?	
no	
19.a.1. If yes, which compliance area:	
No	
19.a.2. If no, what does it address?	
FMS mission critical payment, claims and accounting processes.	
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.	
Payments, Claim and Enhanced Reconciliation.	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	

Hardware	0
Software	0
Services	95
Other	5

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
n/a	
22. Contact information of individual responsible for privacy related questions.	
Name	
Shirley Darnaby or Shirley Thompson	
Phone Number	
Title	
Information System Security Officers (ISSO) for PACER	
Email	

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY
	-2005	2006	2007
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	7.052	0.000	0.000
Maintenance Budgetary Resources	6.829	3.616	3.694
Government FTE Cost	3.251	0.884	0.884
# of FTEs	44	11	11

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

2.a. If "yes," how many and in what year?

N/A

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

No

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2002	Provide Federal payments	Implementation of PACER Module II at three additional	100%	Maintain 100%	Maintained 100%

		timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal-manage the Government's finances effectively & preserve the integrity of financial systems.	Regional Finance Centers			
2	2002	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal-manage the Government's finances effectively & preserve the integrity of financial systems.	PACER will contribute to FMS' ability to process 100% EFT claims in one day.	100%	Maintain 100%	Maintained 100%
3	2003	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal-manage the Government's finances effectively & preserve the integrity of financial systems.	Implementation of PACER Module II at the fifth Regional Finance Center, DOC	100%	Maintain 100%	Maintained 100%
4	2003	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury	Implementation of PACER II at all Regional Finance Centers and DOC will automate what had been a predominately manual accounting function.	100%	Maintain 100%	Maintained 100%

		strategic goal-manage the Government's finances effectively & preserve the integrity of financial systems.				
5	2003	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal-manage the Government's finances effectively & preserve the integrity of financial systems.	PACER will contribute to FMS's ability to process 100% of EFT claims in one day	100%	Maintain 100%	Maintained 100%
6	2004	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal-manage the Government's finances effectively & preserve the integrity of financial systems.	PACER will contribute to the FMS's ability to process 100% of EFT claims in one day	100%	Maintain 100%	Maintained 100%
7	2005	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal-manage the Government's finances effectively & preserve the integrity of	Maintain Customer Satisfaction PACER will respond to 90% of all requests for program/software changes by estimated due date	No Previous Baseline from FY04	Minimum of 90% of customer requests for software corrections/changes will be responded to within the agreed upon time frames thus maintaining current level of customer satisfaction and expectations.	As of the fiscal year 100% of all change requests/issues reported have been resolved within the contractual time frame which is the estimated due date.

		financial systems.				
8	2005	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal- manage the Government's finances effectively & preserve the integrity of financial systems.	Maintain Customer Satisfaction PACER will answer 92% of message alerts within 4 hours	No Previous Baseline from FY04	Minimum of 92% of message alerts which signal component failures will be responded to within the agreed upon time frames thus maintaining production requirements/customer satisfaction.	As of the end of the fiscal year 100 percent of error messages were responded to within 4 hours.
9	2006	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal- manage the Government's finances effectively & preserve the integrity of financial systems.	PACER will contribute to FMS' ability to process 100% of EFT claims in one day	100% (actual number of claims/trace requests changes from year to year)	Maintain 100%	As of the end of the FY06 100% of EFT claims were processed in 1 day. RFC managers will validate the number of EFT trace requests and days it takes to process. Reports are submitted monthly.
10	2006	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal- manage the Government's finances effectively & preserve the integrity of financial systems.	Maintain Customer Satisfaction PACER will complete 90% of all requests for program/software changes/fixes by estimated due date	As of the end of the of FY05 the actual baseline is 100% change requests resolved on time.	Minimum of 90% of customer requests for software corrections/changes will be responded to within the agreed upon time frames thus maintaining current level of customer satisfaction and expectations.	As of the end the 4th QTR FY06 PACER maintained client/user confidence by completing 100% of 178 software fixes on time.
11	2006	Provide Federal payments timely & accurately,	Maintain Customer Satisfaction - PACER will answer 92% of message	As of the end of FY05 the actual baseline was 100% of error messages were	Minimum of 92% of message alerts which signal component failures will be responded to within the	As of the end the 4th QTR FY06 maintained customer satisfaction by

		move to an all electronic Treasury for payments. Treasury strategic goal- manage the Government's finances effectively & preserve the integrity of financial systems.	alerts within 4 hours	responded to within 4 hours.	agreed upon time frames thus maintaining production requirements/customer satisfaction.	responding to 781 Message Alerts 99.7% of the time within 4 hours.
12	2007	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal- manage the Government's finances effectively & preserve the integrity of financial systems.	Maintain Customer Satisfaction PACER will complete 90% of all requests for program/software changes by estimated due date	90% minimal acceptable performance rate. Actual performance statistics from the previous year will be used as the baseline once metrics are available.	Minimum of 90% of customer requests for software corrections/changes will be responded to within the agreed upon time frames thus maintaining current level of customer satisfaction and expectations.	As of the end of the lst QTR FY07 100% or 47 out of 47 customer requests for software corrections/changes were responded to within the agreed upon timeframes thus maintaining customer satisfaction and expectations.
13	2007	Provide Federal payments timely & accurately, move to an all electronic Treasury for payments. Treasury strategic goal- manage the Government's finances effectively & preserve the integrity of financial systems.	Maintain Customer Satisfaction PACER will respond to 92 % of all message alerts within 4 hours	92% minimal acceptable performance rate. Actual performance statistics from the previous year will be used as the baseline once metrics are available.	Minimum of 92% of message alerts which signal component failures will be responded to within the agreed upon time frames thus maintaining production requirements/customer satisfaction.	As of the end of the lst QTR FY07 212 out of 213 message alerts which signal component failures were responded to within the agreed time frame thus maintaining customer satisfaction and production requirements.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

1.a. If no, please explain why?

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

PACER

2.b. If no, please explain why?

N/A

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Process Tracking	Tracks claims processing thru various stages	Tracking and Workflow	Process Tracking			No Reuse	5
2	Program / Project Management	(2) PMP certified PMs	Management of Processes	Program / Project Management			No Reuse	2
3	Conflict Resolution	Assist in resolving claims	Tracking and Workflow	Conflict Resolution			No Reuse	10
4	Ad Hoc	Provide custom reports	Reporting	Ad Hoc			No Reuse	2
5	Standardized / Canned	Provide canned reports	Reporting	Standardized / Canned			No Reuse	4
6	Data Warehouse	DB central repository for payments and claims	Data Management	Data Warehouse			No Reuse	5

7	Billing and Accounting	Accounting for payments and claims	Financial Management	Billing and Accounting			No Reuse	30
8	Payment / Settlement	Settle claims against payments issued	Financial Management	Payment / Settlement			No Reuse	10
9	Identification and Authentication	Identify and authenicate user access	Security Management	Identification and Authentication			No Reuse	2
10	Access Control	Grant access, roles and privledges	Security Management	Access Control			No Reuse	3
11	Data Exchange	Provide data to other systems	Data Management	Data Exchange			No Reuse	5
12	Data Mart	System of record for payment data	Data Management	Data Mart			No Reuse	5
13	Extraction and Transformation	Transform data for other systems	Data Management	Extraction and Transformation			No Reuse	5
14	Audit Trail Capture and Analysis	Capture and track user access information	Security Management	Audit Trail Capture and Analysis			No Reuse	1
15	Legacy Integration	Integrate with TCIS, GWA, pay.gov, etc.	Development and Integration	Legacy Integration			No Reuse	5
16	Configuration Management	Practice Configuration Management	Management of Processes	Configuration Management			No Reuse	3

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Access Control	Service Access and Delivery	Delivery Channels		
2	Identification and Authentication	Service Access and Delivery	Delivery Channels		
3	Data Exchange	Service Access and Delivery	Delivery Channels		
4	Data Mart	Service Access and Delivery	Service Requirements		
5	Billing and Accounting	Service Platform and Infrastructure	Support Platforms		
6	Access Control	Service Platform and Infrastructure	Delivery Servers		

7	Data Warehouse	Service Platform and Infrastructure	Database / Storage		
8	Extraction and Transformation	Service Platform and Infrastructure	Database / Storage		
9	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure		
10	Configuration Management	Service Platform and Infrastructure	Software Engineering		
11	Configuration Management	Service Platform and Infrastructure	Software Engineering		
12	Configuration Management	Service Platform and Infrastructure	Software Engineering		
13	Process Tracking	Service Platform and Infrastructure	Software Engineering		
14	Billing and Accounting	Service Platform and Infrastructure	Hardware / Infrastructure		
15	Conflict Resolution	Service Platform and Infrastructure	Software Engineering		
16	Audit Trail Capture and Analysis	Service Platform and Infrastructure	Software Engineering		
17	Legacy Integration	Component Framework	Business Logic		
18	Ad Hoc	Component Framework	Data Interchange		
19	Standardized / Canned	Component Framework	Data Management		
20	Program / Project Management	Component Framework	Data Management		

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

5.a. If yes, please describe.

N/A

6. Does this investment provide the public with access to a government automated information system?

no

6.a. If yes, does customer access require specific software (e.g., a specific web browser version)?

no

6.a.1. If yes, provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

N/A

PART THREE

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment’s life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment’s life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2006-06-15
1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?
no

COST & SCHEDULE
1. Was operational analysis conducted?
no
1.a. If yes, provide the date the analysis was completed.
2006-12-29
What were the results of your operational analysis?
No Operatonal Analysis has been conducted , although preparation is being made to begin the analysis. Therefore, no date should appear in the section 1.a above. The date showing above is the 'as of' date for the EVM Analysis for the steady state milesones. The date field entry is a ProSight software issue.
1.c. If no, please explain why it was not conducted and if there are any plans to conduct operational analysis in the future.
PACER is waiting for Department guidance on what information they required be to be presented in an Operational Analysis document. PACER is scheduled to meet with the DIO Council in September 2006. That briefing will include information that we presume can be reused for the Operational Analysis. PACER was reviewed and approved in September of 2005 by the FMS Architecture Review Board and that presentation is provided in the ProSight Document Library. The PACER Business Owner is aware that an Operational Analysis needs to be completed before the final 300-B submission to OMB.